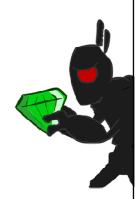
# CS 188: Artificial Intelligence

### **Constraint Satisfaction Problems**





Dan Klein, Pieter Abbeel University of California, Berkeley

### What is Search For?

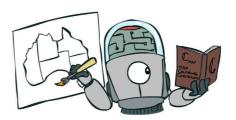
- Assumptions about the world: a single agent, deterministic actions, fully observed state, discrete state space
- Planning: sequences of actions
  - The path to the goal is the important thing
  - Paths have various costs, depths
  - Heuristics give problem-specific guidance
- Identification: assignments to variables
  - The goal itself is important, not the path
  - All paths at the same depth (for some formulations)
  - CSPs are specialized for identification problems



### **Constraint Satisfaction Problems**

- Standard search problems:
  - State is a "black box": arbitrary data structure
  - Goal test can be any function over states
  - Successor function can also be anything
- Constraint satisfaction problems (CSPs):
  - A special subset of search problems
  - State is defined by variables X<sub>i</sub> with values from a domain D (sometimes D depends on i)
  - Goal test is a set of constraints specifying allowable combinations of values for subsets of variables
- Simple example of a formal representation language
- Allows useful general-purpose algorithms with more power than standard search algorithms





### **Example: Map Coloring**

- Variables: WA, NT, Q, NSW, V, SA, T
- Domains:  $D = \{red, green, blue\}$
- Constraints: adjacent regions must have different colors

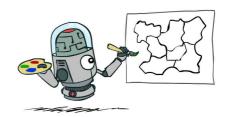
Implicit:  $WA \neq NT$ 

Explicit:  $(WA, NT) \in \{(red, green), (red, blue), \ldots\}$ 

Solutions are assignments satisfying all constraints, e.g.:

{WA=red, NT=green, Q=red, NSW=green, V=red, SA=blue, T=green}





Map coloring examples: Stuart Russell

# Example: N-Queens

### Formulation 1:

■ Variables:  $X_{ij}$ ■ Domains:  $\{0,1\}$ 

Constraints





$$\forall i, j, k \ (X_{ij}, X_{ik}) \in \{(0,0), (0,1), (1,0)\}$$

$$\forall i, j, k \ (X_{ij}, X_{kj}) \in \{(0,0), (0,1), (1,0)\}$$

$$\forall i, j, k \ (X_{ij}, X_{i+k,j+k}) \in \{(0,0), (0,1), (1,0)\}$$

$$\forall i, j, k \ (X_{ij}, X_{i+k,j-k}) \in \{(0,0), (0,1), (1,0)\}$$

$$\sum_{i,j} X_{ij} = N$$

# Example: N-Queens

Formulation 2:

lacktriangle Variables:  $Q_k$ 

• Domains:  $\{1, 2, 3, \dots N\}$ 

Constraints:

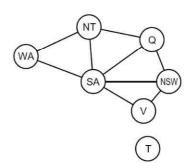
Implicit:  $\forall i,j$  non-threatening $(Q_i,Q_j)$ 

Explicit:  $(Q_1, Q_2) \in \{(1,3), (1,4), \ldots\}$ 

. . .

### **Constraint Graphs**

- Binary CSP: each constraint relates (at most) two variables
- Binary constraint graph: nodes are variables, arcs show constraints
- General-purpose CSP algorithms use the graph structure to speed up search. E.g., Tasmania is an independent subproblem!



[demo: n-queens]

# Example: Cryptarithmetic

Variables:

$$F T U W R O X_1 X_2 X_3$$

Domains:

$$\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$$

Constraints:

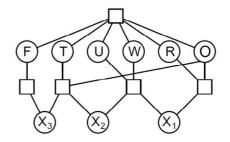
$$\mathsf{alldiff}(F,T,U,W,R,O)$$

$$O + O = R + 10 \cdot X_1$$

. . .

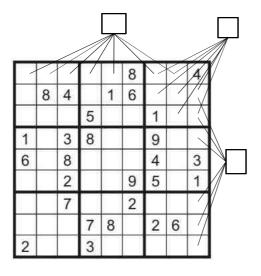






Example: Stuart Russell

# Example: Sudoku



- Variables:
  - Each (open) square
- Domains:
  - **1**,2,...,9
- Constraints:

9-way alldiff for each column

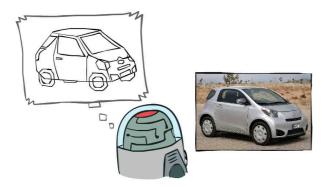
9-way alldiff for each row

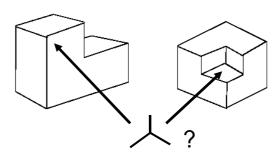
9-way alldiff for each region

(or can have a bunch of pairwise inequality constraints)

# Example: The Waltz Algorithm

- The Waltz algorithm is for interpreting line drawings of solid polyhedra as 3D objects
- An early example of an Al computation posed as a CSP





- Approach:
  - Each intersection is a variable
  - Adjacent intersections impose constraints on each other
  - Solutions are physically realizable 3D interpretations

### Varieties of CSPs

- Discrete Variables
  - Finite domains
    - Size d means  $O(d^n)$  complete assignments
    - E.g., Boolean CSPs, including Boolean satisfiability (NP-complete)
  - Infinite domains (integers, strings, etc.)
    - E.g., job scheduling, variables are start/end times for each job
    - Linear constraints solvable, nonlinear undecidable



- E.g., start/end times for Hubble Telescope observations
- Linear constraints solvable in polynomial time by LP methods (see cs170 for a bit of this theory)





### Varieties of Constraints

- Varieties of Constraints
  - Unary constraints involve a single variable (equivalent to reducing domains), e.g.:

$$SA \neq green$$

Binary constraints involve pairs of variables, e.g.:

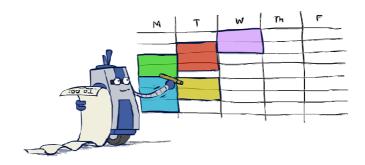
$$SA \neq WA$$

- Higher-order constraints involve 3 or more variables:
   e.g., cryptarithmetic column constraints
- Preferences (soft constraints):
  - E.g., red is better than green
  - Often representable by a cost for each variable assignment
  - Gives constrained optimization problems
  - (We'll ignore these until we get to Bayes' nets)



# Real-World CSPs

- Assignment problems: e.g., who teaches what class
- Timetabling problems: e.g., which class is offered when and where?
- Hardware configuration
- Transportation scheduling
- Factory scheduling
- Circuit layout
- Fault diagnosis
- ... lots more!



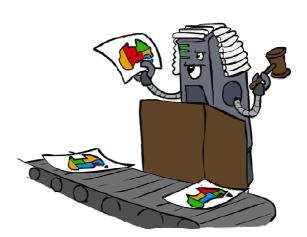
Many real-world problems involve real-valued variables...

# Solving CSPs



### Standard Search Formulation

- Standard search formulation of CSPs
- States defined by the values assigned so far (partial assignments)
  - Initial state: the empty assignment, {}
  - Successor function: assign a value to an unassigned variable
  - Goal test: the current assignment is complete and satisfies all constraints
- We'll start with the straightforward, naïve approach, then improve it



### Search Methods

What would BFS do?

What would DFS do?

WA SA NSW

What problems does naïve search have?

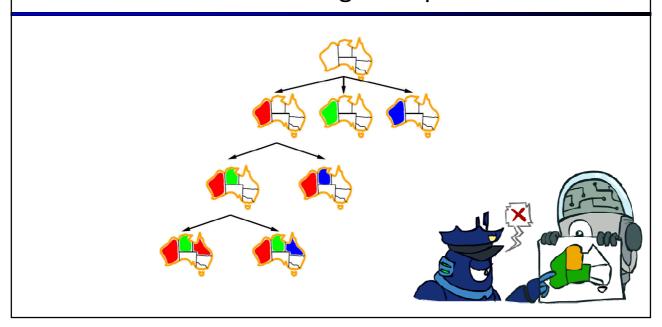
[demo: dfs]

# **Backtracking Search**

- Backtracking search is the basic uninformed algorithm for solving CSPs
- Idea 1: One variable at a time
  - Variable assignments are commutative, so fix ordering
  - I.e., [WA = red then NT = green] same as [NT = green then WA = red]
  - Only need to consider assignments to a single variable at each step
- Idea 2: Check constraints as you go
  - I.e. consider only values which do not conflict previous assignments
  - Might have to do some computation to check the constraints
  - "Incremental goal test"
- Depth-first search with these two improvements is called backtracking search (not the best name)
- Can solve n-queens for n ≈ 25



# **Backtracking Example**



### **Backtracking Search**

```
function Backtracking-Search(csp) returns solution/failure return Recursive-Backtracking(\{\}, csp)

function Recursive-Backtracking(assignment, csp) returns soln/failure if assignment is complete then return assignment var \leftarrow Select-Unassigned-Variable(Variables[csp], assignment, csp) for each value in Order-Domain-Values(var, assignment, csp) do if value is consistent with assignment given Constraints[csp] then add \{var = value\} to assignment result \leftarrow Recursive-Backtracking(assignment, csp) if result \neq failure then return result remove \{var = value\} from assignment return failure
```

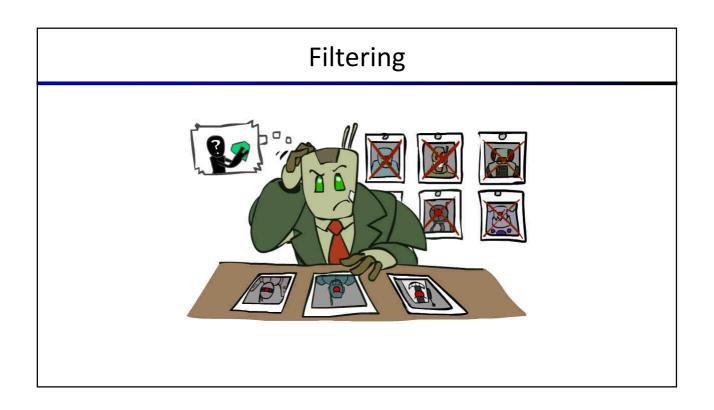
- Backtracking = DFS + variable-ordering + fail-on-violation
- What are the choice points?

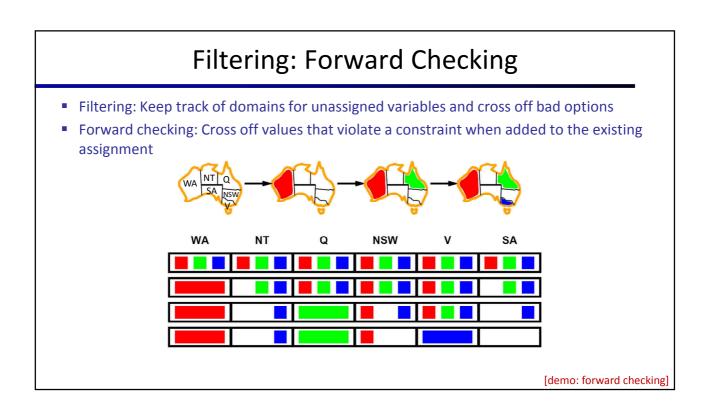
Code: Russell and Norvig

### Improving Backtracking

- General-purpose ideas give huge gains in speed
- Ordering:
  - Which variable should be assigned next?
  - In what order should its values be tried?
- Filtering: Can we detect inevitable failure early?
- Structure: Can we exploit the problem structure?



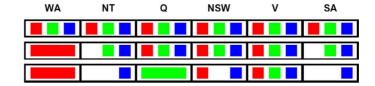




# Filtering: Constraint Propagation

Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures:



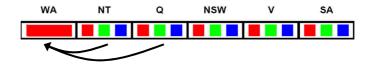


- NT and SA cannot both be blue!
- Why didn't we detect this yet?
- Constraint propagation method reason from constraint to constraint

# Consistency of A Single Arc

An arc X → Y is consistent iff for every x in the tail there is some y in the head which could be assigned without violating a constraint







Delete from the tail!

• Forward checking: Enforcing consistency of arcs pointing to each new assignment

### Arc Consistency of an Entire CSP

• A simple form of propagation makes sure all arcs are consistent:





- Important: If X loses a value, neighbors of X need to be rechecked!
- Arc consistency detects failure earlier than forward checking
- Can be run as a preprocessor or after each assignment
- What's the downside of enforcing arc consistency?

Remember: Delete from the tail!

### **Enforcing Arc Consistency in a CSP**

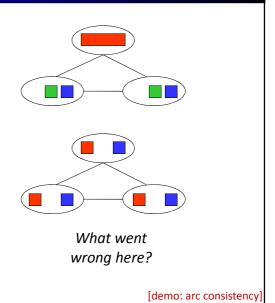
```
function AC-3( csp) returns the CSP, possibly with reduced domains inputs: csp, a binary CSP with variables \{X_1, X_2, \ldots, X_n\} local variables: queue, a queue of arcs, initially all the arcs in csp while queue is not empty do (X_i, X_j) \leftarrow \text{REMOVE-FIRST}(queue) if \text{REMOVE-INCONSISTENT-VALUES}(X_i, X_j) then for each X_k in \text{NEIGHBORS}[X_i] do add (X_k, X_i) to queue function \text{REMOVE-INCONSISTENT-VALUES}(X_i, X_j) returns true iff succeeds removed \leftarrow false for each x in \text{DOMAIN}[X_i] do if no value y in \text{DOMAIN}[X_j] allows (x,y) to satisfy the constraint X_i \leftrightarrow X_j then delete x from \text{DOMAIN}[X_i]; removed \leftarrow true return removed
```

- Runtime: O(n<sup>2</sup>d<sup>3</sup>), can be reduced to O(n<sup>2</sup>d<sup>2</sup>)
- ... but detecting all possible future problems is NP-hard why?

Code: Russell and Norvig

# **Limitations of Arc Consistency**

- After enforcing arc consistency:
  - Can have one solution left
  - Can have multiple solutions left
  - Can have no solutions left (and not know it)
- Arc consistency still runs inside a backtracking search!



Ordering

# Ordering: Minimum Remaining Values

- Variable Ordering: Minimum remaining values (MRV):
  - Choose the variable with the fewest legal left values in its domain

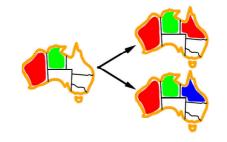


- Why min rather than max?
- Also called "most constrained variable"
- "Fail-fast" ordering

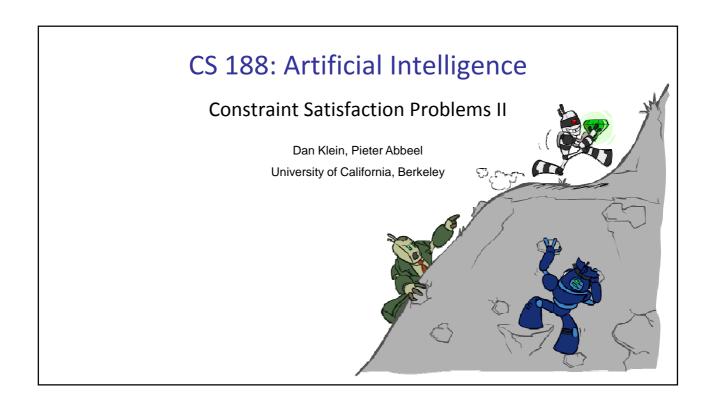


### Ordering: Least Constraining Value

- Value Ordering: Least Constraining Value
  - Given a choice of variable, choose the least constraining value
  - I.e., the one that rules out the fewest values in the remaining variables
  - Note that it may take some computation to determine this! (E.g., rerunning filtering)
- Why least rather than most?
- Combining these ordering ideas makes 1000 queens feasible







# Today

- Efficient Solution of CSPs
- Local Search

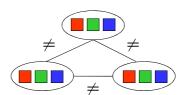


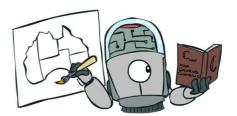
### Reminder: CSPs

- CSPs:
  - Variables
  - Domains
  - Constraints
    - Implicit (provide code to compute)
    - Explicit (provide a list of the legal tuples)
    - Unary / Binary / N-ary



Here: find any solutionAlso: find all, find best, etc.





### **Backtracking Search**

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function Backtracking-Search(csp) returns solution/failure return Recursive-Backtracking(\{\}, csp\})

function Recursive-Backtracking(assignment, csp) returns soln/failure if assignment is complete then return assignment var \leftarrow \text{Select-Unassigned-Variable}(\text{Variables}[csp], assignment, csp) for each value in Order-Domain-Values(var, assignment, csp) do if value is consistent with assignment given Constraints[csp] then add \{var = value\} to assignment result \leftarrow \text{Recursive-Backtracking}(assignment, csp) if result \neq failure then return result remove \{var = value\} from assignment return failure
```

Code: Russell and Norvig

# Improving Backtracking

- General-purpose ideas give huge gains in speed
  - ... but it's all still NP-hard
- Ordering:
  - Which variable should be assigned next? (MRV)
  - In what order should its values be tried? (LCV)
- Filtering: Can we detect inevitable failure early?
- Structure: Can we exploit the problem structure?

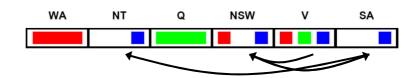




# Arc Consistency of an Entire CSP

• A simple form of propagation makes sure all arcs are simultaneously consistent:



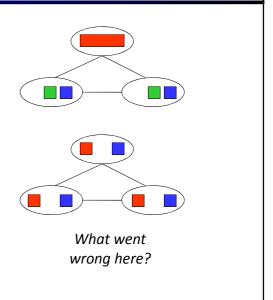


- Arc consistency detects failure earlier than forward checking
- Important: If X loses a value, neighbors of X need to be rechecked!
- Must rerun after each assignment!

Remember: Delete from the tail!

# **Limitations of Arc Consistency**

- After enforcing arc consistency:
  - Can have one solution left
  - Can have multiple solutions left
  - Can have no solutions left (and not know it)
- Arc consistency still runs inside a backtracking search!



# 

### **K-Consistency**

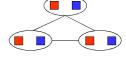
- Increasing degrees of consistency
  - 1-Consistency (Node Consistency): Each single node's domain has a value which meets that node's unary constraints
  - 2-Consistency (Arc Consistency): For each pair of nodes, any consistent assignment to one can be extended to the other
  - K-Consistency: For each k nodes, any consistent assignment to k-1 can be extended to the k<sup>th</sup> node.





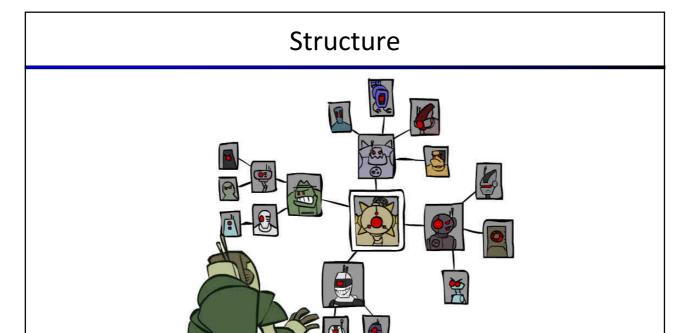






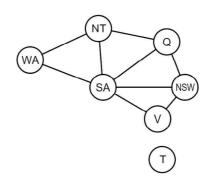
### **Strong K-Consistency**

- Strong k-consistency: also k-1, k-2, ... 1 consistent
- Claim: strong n-consistency means we can solve without backtracking!
- Why?
  - Choose any assignment to any variable
  - Choose a new variable
  - By 2-consistency, there is a choice consistent with the first
  - Choose a new variable
  - By 3-consistency, there is a choice consistent with the first 2
  - ..
- Lots of middle ground between arc consistency and n-consistency! (e.g. k=3, called path consistency)

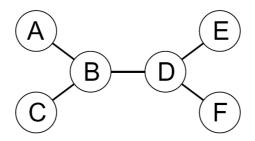


# **Problem Structure**

- Extreme case: independent subproblems
  - Example: Tasmania and mainland do not interact
- Independent subproblems are identifiable as connected components of constraint graph
- Suppose a graph of n variables can be broken into subproblems of only c variables:
  - Worst-case solution cost is O((n/c)(d<sup>c</sup>)), linear in n
  - E.g., n = 80, d = 2, c = 20
  - 2<sup>80</sup> = 4 billion years at 10 million nodes/sec
  - $(4)(2^{20}) = 0.4$  seconds at 10 million nodes/sec



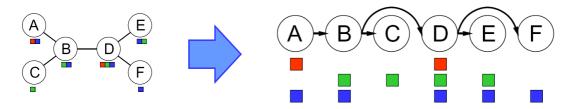
### Tree-Structured CSPs



- Theorem: if the constraint graph has no loops, the CSP can be solved in O(n d²) time
  - Compare to general CSPs, where worst-case time is O(d<sup>n</sup>)
- This property also applies to probabilistic reasoning (later): an example of the relation between syntactic restrictions and the complexity of reasoning

### Tree-Structured CSPs

- Algorithm for tree-structured CSPs:
  - Order: Choose a root variable, order variables so that parents precede children



- Remove backward: For i = n : 2, apply RemoveInconsistent(Parent(X<sub>i</sub>),X<sub>i</sub>)
- Assign forward: For i = 1 : n, assign X<sub>i</sub> consistently with Parent(X<sub>i</sub>)
- Runtime: O(n d²) (why?)



### Tree-Structured CSPs

- Claim 1: After backward pass, all root-to-leaf arcs are consistent
- Proof: Each X→Y was made consistent at one point and Y's domain could not have been reduced thereafter (because Y's children were processed before Y)

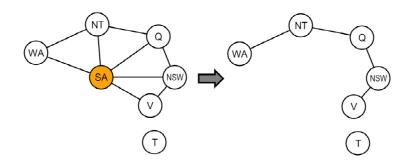


- Claim 2: If root-to-leaf arcs are consistent, forward assignment will not backtrack
- Proof: Induction on position
- Why doesn't this algorithm work with cycles in the constraint graph?
- Note: we'll see this basic idea again with Bayes' nets

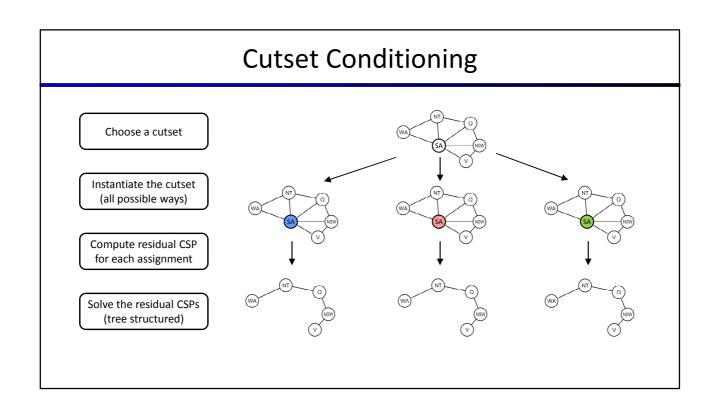
# **Improving Structure**



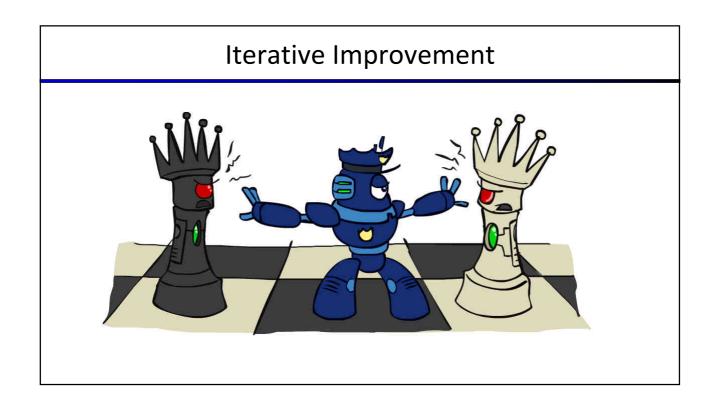
# Nearly Tree-Structured CSPs



- Conditioning: instantiate a variable, prune its neighbors' domains
- Cutset conditioning: instantiate (in all ways) a set of variables such that the remaining constraint graph is a tree
- Cutset size c gives runtime O( (dc) (n-c) d2), very fast for small c



### Tree Decomposition\* Idea: create a tree-structured graph of mega-variables NT Each mega-variable encodes part of the original CSP (WA Subproblems overlap to ensure consistent solutions M1 M2 МЗ M4 $\{(WA=r,SA=g,NT=b),$ $\{(NT=r,SA=g,Q=b),$ Agree: (M1,M2) ∈ (NT=b,SA=g,Q=r), (WA=b,SA=r,NT=g), $\big\{ \big( (\mathsf{WA} = \mathsf{g}, \mathsf{SA} = \mathsf{g}, \mathsf{NT} = \mathsf{g}), \, (\mathsf{NT} = \mathsf{g}, \mathsf{SA} = \mathsf{g}, \mathsf{Q} = \mathsf{g}) \big), \quad \ldots \big\}$



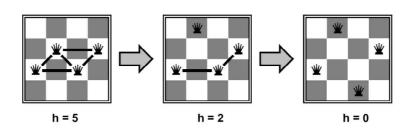
# **Iterative Algorithms for CSPs**

- Local search methods typically work with "complete" states, i.e., all variables assigned
- To apply to CSPs:
  - Take an assignment with unsatisfied constraints
  - Operators *reassign* variable values
  - No fringe! Live on the edge.



- Algorithm: While not solved,
  - Variable selection: randomly select any conflicted variable
  - Value selection: min-conflicts heuristic:
    - Choose a value that violates the fewest constraints
    - I.e., hill climb with h(n) = total number of violated constraints

### Example: 4-Queens

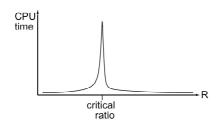


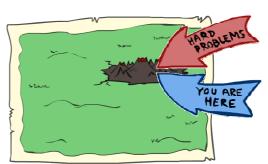
- States: 4 queens in 4 columns (4<sup>4</sup> = 256 states)
- Operators: move queen in column
- Goal test: no attacks
- Evaluation: c(n) = number of attacks

[demos: iterative n-queens, map coloring]

### Performance of Min-Conflicts

- Given random initial state, can solve n-queens in almost constant time for arbitrary n with high probability (e.g., n = 10,000,000)!
- The same appears to be true for any randomly-generated CSP except in a narrow range of the ratio
  - $R = \frac{\text{number of constraints}}{\text{number of variables}}$



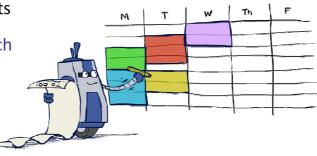


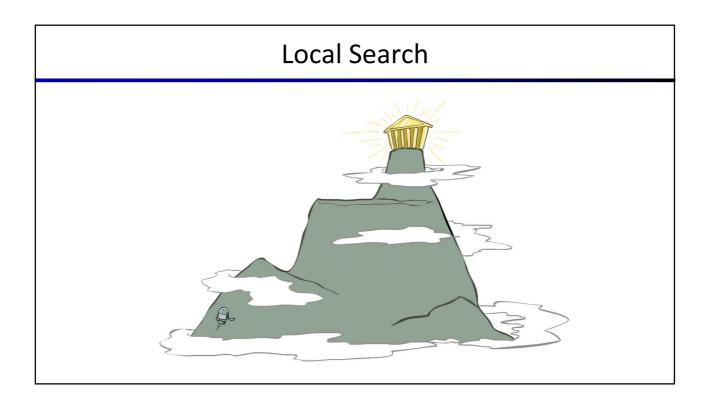
# Summary: CSPs

- CSPs are a special kind of search problem:
  - States are partial assignments

Goal test defined by constraints

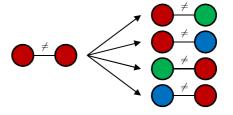
- Basic solution: backtracking search
- Speed-ups:
  - Ordering
  - Filtering
  - Structure
- Iterative min-conflicts is often effective in practice





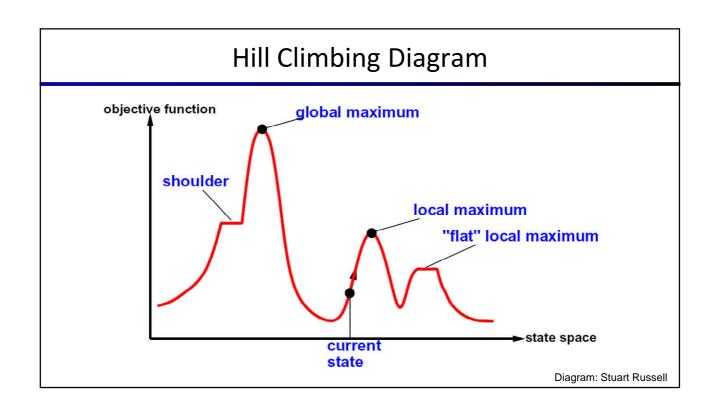
# **Local Search**

- Tree search keeps unexplored alternatives on the fringe (ensures completeness)
- Local search: improve a single option until you can't make it better (no fringe!)
- New successor function: local changes



Generally much faster and more memory efficient (but incomplete and suboptimal)

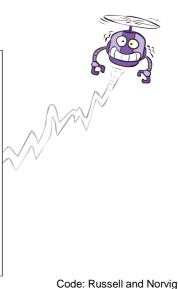
# Simple, general idea: Start wherever Repeat: move to the best neighboring state If no neighbors better than current, quit What's bad about this approach? Complete? Optimal? What's good about it?



# Simulated Annealing

- Idea: Escape local maxima by allowing downhill moves
  - But make them rarer as time goes on

```
function SIMULATED-ANNEALING (problem, schedule) returns a solution state inputs: problem, a problem schedule, a mapping from time to "temperature" local variables: current, a node next, a node T, a "temperature" controlling prob. of downward steps  current \leftarrow \text{MAKE-NODE}(\text{INITIAL-STATE}[problem])  for t \leftarrow 1 to \infty do  T \leftarrow schedule[t]  if T = 0 then return current next \leftarrow a randomly selected successor of current  \Delta E \leftarrow \text{VALUE}[next] - \text{VALUE}[current]  if \Delta E > 0 then current \leftarrow next else current \leftarrow next only with probability e^{\Delta E/T}
```

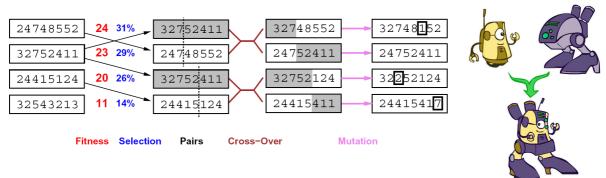


### Simulated Annealing

- Theoretical guarantee:
  - ullet Stationary distribution:  $p(x) \propto e^{rac{E(x)}{kT}}$
  - If T decreased slowly enough, will converge to optimal state!
- Is this an interesting guarantee?
- Sounds like magic, but reality is reality:
  - The more downhill steps you need to escape a local optimum, the less likely you are to ever make them all in a row
  - People think hard about ridge operators which let you jump around the space in better ways



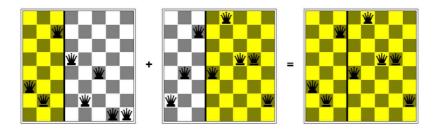
# Genetic Algorithms



- Genetic algorithms use a natural selection metaphor
  - Keep best N hypotheses at each step (selection) based on a fitness function
  - Also have pairwise crossover operators, with optional mutation to give variety
- Possibly the most misunderstood, misapplied (and even maligned) technique around

Example: Stuart Russell

### **Example: N-Queens**



- Why does crossover make sense here?
- When wouldn't it make sense?
- What would mutation be?
- What would a good fitness function be?